

Responsible Minerals Sourcing Policy

Smiths Group Policies | Ethics 2.4 | Mandatory policy

The Policy

Smiths is committed to the responsible sourcing of minerals - sourcing done in an ethical and sustainable manner - that safeguards human rights. This applies to our own operations and across our global supply chain. Initially, both certain established industry standards and global regulatory requirements only addressed, tin, tungsten, tantalum and gold (3TG) sourced from the Democratic Republic of Congo (DRC) and DRC-adjointing countries. Prompted by growing concerns about human rights abuses and other risks related to the extraction of minerals beyond 3TG, such as cobalt, and in geographies beyond the DRC, Smiths has evolved its responsible minerals program and related due diligence practices to address minerals originating from Conflict-Affected and High-Risk Areas (CAHRAs) as defined by the applicable governmental authorities.

Consistent with this commitment, Smiths aims to ensure that these minerals (Tin, Tungsten, Tantalum, Gold and Cobalt), if contained in our products, are sourced with due respect for human rights and in a manner that does not finance armed groups. To achieve this objective, Smiths is guided by the Organization for Economic Co-operation and Development (OECD) Due Diligence Guidance for Responsible Supply Chains of Minerals from CAHRAs (OECD Guidance).

Expectations of Suppliers

Smiths does not directly source minerals from the DRC, adjoining countries, or any other CAHRAs. Additionally, the mines are typically several tiers removed from us. Based on that, the cooperation of our suppliers is crucial to support our Responsible Minerals Sourcing commitment. Smiths applicable suppliers are expected to:

- Be knowledgeable and transparent about the source of the tin, tungsten, tantalum, gold, cobalt, and any other so-called conflict minerals in the products they supply to us and take reasonable steps to responsibly source these minerals, especially if they are from the DRC, adjoining countries or any other CAHRAs.
- Comply with the Smiths Supplier Code of Conduct
- <https://www.smiths.com/-/media/files/supplier-code-of-conduct/smiths-group-supplier-code-of-conduct-2022-en.pdf>
- Establish and maintain a publicly available policy on responsible mineral sourcing that aligns with the OECD Guidance.
- Establish a due diligence process and management system consistent with the OECD Guidance.
- Extend the above expectations to their own suppliers.
- Upon Smiths periodic requests, furnish certain information that we deem reasonably necessary, including certifications and documentation, to monitor and determine compliance with this policy.

If an established or prospective applicable supplier to Smiths is unable or unwilling to support Smiths commitment to this policy, Smiths will be apt to seek alternative sourcing arrangements.

Questions or feedback on Smiths Responsible Minerals Sourcing Policy may be submitted via safety@smiths.com

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